

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0851-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/736,487	
	Filing Date	December 15, 2003	
	First Named Inventor	Robert Hong Leung Chiang	
	Art Unit	3744	
	Examiner Name	ALI, Mohammad	
Total Number of Pages In This Submission	15	Attorney Docket Number	9930A

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Firm Name	Carrier Corporation	
Signature	<i>William W. Habelt</i>	
Printed name	William W. Habelt	
Date	September 30, 2004	Reg. No. 29,162

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Typed or printed name	William W. Habelt
Date	09/30/2004

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PTO/SB/17 (10-03)

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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 420.00)**Complete if Known**

Application Number	10/736,487
Filing Date	Dec. 15, 2003
First Named Inventor	CHIANG, Robert Hong Leung
Examiner Name	ALI, Mohammad
Art Unit	3744
Attorney Docket No.	9930A

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

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03-0835

Deposit Account Name
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Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 285	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

2. EXTRA CLAIMS		Fee from below	Fee Paid
Total Claims	16	-20** = 0 X	= 0
Independent Claims	3	-3** = 0 X	= 0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 280	2203 145	Multiple dependent claim, if not paid
1204 88	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	\$420.00
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(a)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 420.00)**SUBMITTED BY**

Name (Print/Type)	William W. Habelt	Registration No. (Attorney/Agent)	29,162	Telephone	315-433-4819
Signature	<i>William W. Habelt</i>	Date	Sept. 30, 2004		

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PTO/SB417 (10-03)

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METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
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03-0835

Carrier Corporation

The Director is authorized to: (check all that apply)

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FEE CALCULATION (continued)**3. ADDITIONAL FEES**

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Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 420.00)**SUBMITTED BY**

Name (Print/Type) William W. Habelt

Registration No.
(Attorney/Agent)

29,162

Telephone 315-433-4819

Signature

William W. Habelt

Date Sept. 30, 2004

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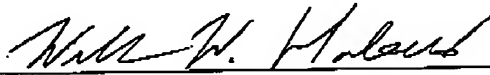
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WILLIAM W. HABELT

Typed or printed name of person signing Certificate

The papers identified below are being facsimile transmitted hereunder in re application of:

Inventor: Robert H.L. Chiang
Serial No.: 10/736,487
Filed: December 15, 2003
For: MEDIUM TEMPERATURE REFRIGERATED MERCHANDISER

Atty Docket: 9930A

Transmittal form - 1 page
Fee Transmittal Form - 1 page plus copy
Certificate of Facsimile Transmission - 1 page
Petition For Extension of Time Under 37 CFR 1.136(a) - 1 page plus copy
Amendment - 9 pages